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Customer Number

Patent  
Case No.: 59417US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.

Application No.: 10/727026 Confirmation No.: 4456

Filed: December 2, 2003

Title: PHOSPHOR BASED LIGHT SOURCE HAVING A NON-PLANAR LONG PASS  
REFLECTOR AND METHOD OF MAKING

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Date

Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited U.S. Patent is not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

**Fees:**

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Any required fee will be paid at the time of EFS-Web submission.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

5 Oct. 2006  
Date

By: Stephen C. Jensen

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
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Substitute for form 1449A/PTO (modified)		Application Number	10/727026
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	December 2, 2003
(Use as many sheets as necessary)		First Named Inventor	Onderkirk, Andrew J.
Page 1 of 1		Confirmation Number	4456
		Attorney Case Number	59417US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,833,565	12-21-2004	Su et al.	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents						
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		Ctry. Code	Number-KindCode (If known)			
	B1					
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OTHER DOCUMENTS				
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	C1			
	C2			
	C3			

<b>*Examiner:</b>	<b>Date Considered:</b>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	